

Corporate Enforcement Authority (CEA) Report on Protected Disclosures 2023

The Protected Disclosures Act 2014, as amended, requires public bodies to prepare and publish a report each year relating to the number of protected disclosures (internal and external) made to public bodies in the preceding year in addition to detailing the action taken in response to the disclosures made.

The CEA is prescribed by law to receive protected disclosures from workers in connection with all matters relating to the CEA's functions (as set out under section 944D of the Companies Act 2014). The CEA has in place both internal and external reporting channels and procedures.

There were no internal Protected Disclosures made to the CEA for the period 1 January to 31 December 2023.

Table 1 sets out information about external Protected Disclosures made to the CEA for the period 1 January to 31 December 2023.

No.	Information to be provided	CEA (1 January to 31 December 2023)
1.	The number of reports made	18
2.	The number of reports transmitted under sections 7, 10B, 10C and 10D	18
3.	In respect of the reports in (1) and (2) whether the relevant wrongdoing concerned was a breach;	7
4.	The number of investigations and proceedings opened by the CEA, in relation to the relevant wrongdoings concerned as a result of the reports referred to in (1) and (2)	7
5.	The number of ongoing investigations and proceedings opened in the years preceding the year in respect of which this report is being made in relation to the relevant wrongdoings concerned that remain open	10
6.	The number of investigations and proceedings closed in relation to the relevant wrongdoings concerned as a result of the reports in (1) and (2)	13

No.	Information to be provided	CEA (1 January to 31 December 2023)
7.	The outcome of the closed investigations or proceedings and the decision taken in respect of (6)	11 – relevant wrongdoing was not identified. 2 - action was taken to achieve compliance.
8.	Where relevant and in so far as it can be ascertained, the estimated financial damage and the amount recovered following any investigation and proceedings referred to in (6)	N/A
9.	Such other information relating to the performance of the functions of the CEA as may be requested by the Minister.	N/A